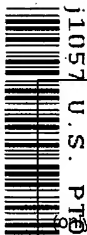


12-20-01

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12/18/01



# UTILITY PATENT APPLICATION TRANSMITTAL

only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. **2001U012.US**

Inventors **MURRAY, Rex E.**

Title **IMINO-AMIDE CATALYST COMPOSITIONS FOR THE  
POLYMERIZATION OF OLEFINS**

Express Mail Label No. **EV 052786260 US**

## APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
P.O. BOX 2327  
Arlington, VA 22202

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages **- 72 -**  
(preferred arrangement set forth below)
- Descriptive Title of the Invention
  - Cross References to Related Applications (if any)
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets **- 0 -**
4. ☒ Oath or Declaration Total Pages **- 2 -**  
unsigned
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)  
(for continuation/division with Box 15 completed)  
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. ☐ Microfiche Computer Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Other: \_\_\_\_\_

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior applications No: \_\_\_\_\_, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

No. \_\_\_\_\_, country \_\_\_\_\_, filed on \_\_\_\_\_.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name **Univation Technologies, LLC**

Address **5555 San Felipe, Suite 1950**

City **Houston**

State

**Texas**

Zip Code **77056**

Country **U.S.A.**

Telephone

**(713) 892-3729**

Fax

**(713) 892-3687**

Name (print/type) **Lisa Kimes Jones**

Registration No. (Attorney/Agent)

**41,878**

Signature

Date

**December 18, 2001**

# FEE TRANSMITTAL

Note: Effective November 10, 1998.  
Patent fees are subject to annual revision.

## Complete if Known

Application Number	New Application
Filing Date	December 18, 2001
Inventors	Murray, Rex E.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2001U012.US

Total Amount of Payment (\$)**1202.00**

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
<del>101</del> 740	Utility filing fee		<b>740.00</b>
<del>106</del> 330	Design filing fee		
<del>107</del> 510	Plant filing fee		
<del>108</del> 740	Reissue filing fee		
<del>114</del> 160	Provisional filing fee		
<b>SUBTOTAL (1)</b>			<b>740.00</b>

#### 2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims <b>41</b> - 20 = <b>21</b> x <b>18</b> =			<b>378.00</b>
Independent Claims <b>4</b> - 3 = <b>1</b> x <b>84</b> =			<b>84.00</b>
Multiple Dependent Claims <b>0</b> x <b>280.00</b> =			<b>.00</b>

#### Large Entity

Fee Code	Fee\$	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>462.00</b>

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description
105	130	Surcharge - late filing fee or oath
127	50	Surcharge - late provisional filing or cover sheet.
139	130	Non-English specification
147	2,520	For filing a request for reexamination
112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	Requesting publication of SIR after Examiner action
115	110	Extension for reply within first month
116	400	Extension for reply within second month
117	920	Extension for reply within third month
118	1,440	Extension for reply within fourth month
128	1,960	Extension for reply within fifth month
119	310	Notice of Appeal
120	320	Filing a brief in support of an appeal
121	280	Request for oral hearing
138	1,510	Petition to institute a public use proceeding
140	110	Petition to revive - unavoidable
141	1,280	Petition to revive - unintentional
142	1,280	Utility issue fee (or reissue)
143	460	Design issue fee
144	620	Plant issue fee
122	130	Petition to the Commissioner
123	50	Petitions related to provisional applications
126	180	Submission of information Disclosure Stmt.
581	40	Recording each patent assignment per property (times number of properties)
148	110	Statutory disclaimer

Other fee (specify)  
\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

FEES PAID

**.00**

Typed or Printed Name **Lisa Kim Jones**

Reg. No. **41,878**

Signature

Date **December 18, 2001**

Deposit Account User ID